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Attorney Docket No. YO999-527

**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

11/09/00

jc948 U.S. PTO

PATENT APPLICATION

APPLICANT(S): Tetsunosuke Fujisaki

TITLE: **METHOD AND APPARATUS
FOR NETWORK
MARKETING OF
FINANCIAL SECURITIES**

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 9, 2000

Signature: Kevin M. Mason

SIR:

This application claims the benefit of United States Provisional Application Number 60/164,795, filed November 10, 1999.

Enclosed are the following papers relating to the above-named application for patent:

Application - 17 pages of Specification, 8 pages of Claims and 1 page of Abstract
14 Sheets of informal drawing(s)
Declaration (unexecuted)

| CLAIMS AS FILED | | | | |
|--|-----------|-----------|----------|--------------|
| | NO. FILED | NO. EXTRA | RATE | CALCULATIONS |
| Total Claims | 35 - 20 = | 15 | x \$18 = | \$270 |
| Independent Claims | 13 - 3 = | 10 | x \$80 = | \$800 |
| Multiple Dependent Claim(s), if applicable | | | \$260 = | \$0 |
| Basic Fee | | | | \$710 |
| TOTAL FEE: | | | | \$1,780 |

Please file the application and charge **IBM Corporation's Deposit Account No. 50-0510** the amount of \$1,780, to cover the filing fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. *Duplicate copies of this letter are enclosed.*

Please address all correspondence to: **Kevin M. Mason, Ryan, Mason & Lewis, LLP, 1300 Post Rd., Suite 205, Fairfield, CT 06430**. Telephone calls should be made to the under-signed attorney at (203) 255-6560.

Respectfully,

Kevin M. Mason
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09/11/00